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MILLENNIUM TRUST'S QUARTERLY ALTERNATIVES NEWSLETTER

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FAST FACTS

We are a leading financial services company offering alternative custody solutions to institutions, advisors, and individuals.

\$18.9B Total assets under custody
\$10.1B Private fund assets

458K Client accounts
11K Unique assets we custody

As of June 30, 2016

IMPROVING PROCESSES WITH TECH

We spend a lot of time thinking about how to make your life easier when you choose to invest in alternative assets with your Millennium Trust IRA. It isn't always easy. Unlike your traditional stocks, bonds, and mutual funds, nothing is standardized for alternative assets and they tend to involve multiple legal documents and investment forms. Still, we are constantly working to improve and move closer to our overall goal of creating an end-to-end, digital custody solution for complex, documentation-heavy, non-traditional assets.

Last month we announced the launch of a centralized, secure, online portal that improves the workflow for tracking investment documentation, form submissions, and communications between investment sponsors, investors, and service providers.

We can now work collectively within this portal to:

- Upload investment documents
- Track required forms through submission and processing
- Send communications or requests to complete appropriate forms
- Receive status notifications at each stage of the process

The Millennium Trust team continues to believe in the importance of providing clients with tools that can broaden their access to alternative assets to help them build and manage their diversified retirement portfolios. We continue to focus on improving processes and adding platforms to the Millennium Alternative Investment Network™. In the coming months, look out for the following:

- Updated phone system
- Improvements to our client portals
- New platforms added to MAIN

Thank you for being a client! Please feel free to send questions or comments to us at info@mtrustcompany.com.



Gary Anetsberger

Gary Anetsberger, CEO



**FOR THE MOST
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INFORMATION ON
OUR WEBINARS,
VISIT OUR WEBSITE:**

mtrustcompany.com/news

THE MAIN™ WEBINAR SERIES

Alternative assets are increasingly viewed as an important ingredient when creating a diversified portfolio. But a lack of access and a lack of educational information about alternatives are often cited as two of the biggest hurdles faced by advisors and individuals when starting to look at alternative investments.

MAIN is an easy place to begin. You can access a range of alternatives, from pre-IPO private equity to managed futures, real estate, fixed income, and hedge funds, through the platforms listed on MAIN. And in May, we launched a monthly, educational webinar series. Each webinar is approximately 30 minutes long and is presented by a different platform.*

UPCOMING WEBINARS*



Join us **Tuesday, September 20, 2016 at 3:00 P.M. (CST)** for a webinar with iCapital. iCapital Network is a financial technology platform that connects high-net-worth investors and their advisors with streamlined, turnkey access to private equity and hedge funds.

PREVIOUS WEBINARS*

Listen to any of our webinars at mtrustcompany.com/learning-center

Money360, PeerRealty, & Prodigy Network: Current Trends for Investing in Commercial Real Estate

Insights on the commercial real estate market, trends in investing and how Advisors can use crowdfunding portals to get started.

FNEX: How to Participate in the Alternatives Market

Investors are becoming aware that there's more to investing beyond traditional assets – the alternative asset market is one such place.

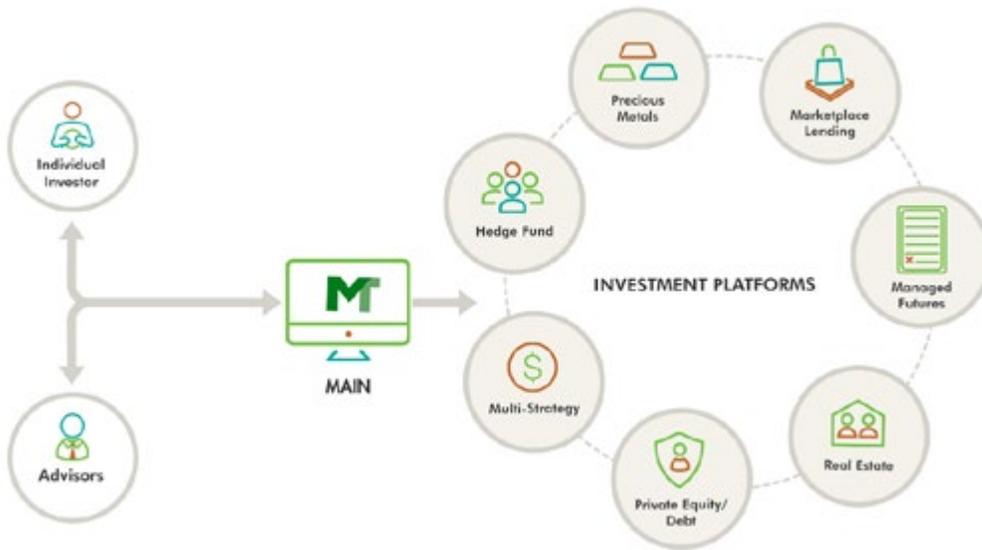
Lending Club: Answers to the Top 5 Questions About Marketplace Lending

How P2P fits into your clients' fixed income strategy.

*Millennium Trust Company performs the duties of a directed custodian for your IRA or other custodial accounts. As such, Millennium does not undertake any due diligence for you on the Platforms listed or their prospective investments. The information on each Platform was provided by that Platform. Millennium is not recommending or endorsing any Platform or investment accessible through MAIN. Millennium, as a directed custodian, does not sell investments or provide investment, tax or legal advice. Millennium is not affiliated with any Platform, investment or investment sponsor. Investors are advised to evaluate the quality and reliability of their investment choices, and consult their own investment, tax or legal advisors before investing in any alternative asset.

WHAT INVESTMENT PLATFORMS ARE LISTED ON MAIN™?

MAIN was launched with four investment platforms and today eleven are listed, all with varying types of investments. Our goal is to continue to expand so that individual investors and advisors can easily access a wide range of investments.



HOW MAIN WORKS

Technology is having a transformative effect on many industries and aspects of our daily lives. The alternative investment industry is no different. Custody of alternative assets has historically been a cumbersome, paper-centric process. MAIN is designed to change that.



Step 1. Individuals and Advisors can easily **access** MAIN on any device



Step 2. Search through platform descriptions on MAIN to begin exploring investment ideas



Step 3. Select a platform to begin researching available investments in depth



Step 4. Open a Millennium account online to custody selected investment

Wealth Management.com
2016 INDUSTRY AWARDS
Finalist

Millennium Trust has been named a finalist for the WealthManagement.com 2016 Industry Awards.

Award Category:
Custodians (Emerging - less than \$25 billion) - Technology

Finalist Initiative:
Millennium Alternative Investment Network (MAIN)

HAVE YOU MOVED?

Do you have a new residential or e-mail address and need to update the information on your account? It's easy to get caught up in the hundreds of other things you need to do, but don't forget to notify us by completing the following request form:

[Address Change Request Form](#)

*Alternative investments may be illiquid or may take significantly longer to liquidate or re-register. Review the liquidation/re-registration terms of your alternative investment(s) and submit directions early enough to allow adequate time. Millennium Trust is not responsible for the timing or for its receipt of proceeds on any liquidation for alternative assets.



IMPORTANT REMINDERS

The following is a helpful reminder of the specific documentation to complete and actions to take in order to successfully meet IRS deadlines for 2016. Please note that Millennium Trust must receive written directions and completed forms in good order with sufficient time allowed for processing prior to deadlines.*

OCT. 1, 2016 Last day deadlines to do the following:

- Last effective date for the establishment of a SIMPLE IRA for the current year, provided the plan sponsor did not previously maintain a SIMPLE IRA plan. If a SIMPLE IRA plan was previously established, one may be setup effective only on January 1.
- Roth Recharacterization paperwork is due at Millennium. Account holders must have the completed forms to Millennium Trust with sufficient time allowed for processing before the October 15th IRS deadline.

OCT. 15, 2016 IRS deadline for the following:

- Recharacterize IRA contributions for the previous year (with a filing extension, or if you filed your taxes by April 15th)
- Remove excess IRA contributions for the previous year (with a filing extension; please notify Millennium)

OCT. 30, 2016 Deadline for the plan to send SIMPLE IRA summary descriptions.

About Millennium Trust Company

Founded in 2000, Millennium Trust Company celebrates 15 years of providing its clients with innovative and cutting-edge custody solutions. Millennium Trust is a leading financial services company offering niche alternative custody solutions to institutions, advisors and individuals. We serve as a complement to services offered by other custodians. Millennium's innovative solutions include rollover solutions, alternative asset custody, private fund custody and advisor support solutions.

Have questions? Contact us at **1.800.258.7878**

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Millennium Trust does not endorse any platform or investment, including platforms and investments accessible through MAIN and/or accepted for custody at Millennium Trust. Millennium Trust Company performs the duties of a custodian and, as such, does not provide any investment, tax or legal advice, or perform any due diligence on behalf of account holders or any third party.

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