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IRA RECHARACTERIZATION REQUEST

To complete this application, you will need:

- Your current Account Number and type of IRA being Recharacterized
- Your initial contribution amount and date
- Your Social Security Number
- Your new Millennium Account Number
- List of assets being Recharacterized

Please print after completion. For assistance with this application, please contact a Client Service Specialist at 800.258.7878. (Hours: Monday - Friday, 8:00 am to 4:30 pm Central time.)

A Account Owner's Information

First: Middle: Last:
 Address:
 City: State: Zip:
 Home Phone No.: Work Phone No.:
 E-mail Address:
 Social Security No.: Date of Birth:

B Recharacterization Request

I authorize and direct you, the present Custodian, to recharacterize the assets (and earnings attributable) indicated in Section C. I understand that this transaction will be reported to the IRS and that I should consult a tax professional regarding my IRS reporting obligations. **Note:** Recharacterization Request must be submitted at least one month prior to your federal income tax return filing due date (including extensions) for the taxable year which the contribution was made to meet the IRS deadline.

Enter current IRA account number:

- 1. Transfer the following assets from:
- Account No.: Traditional IRA
 Roth IRA

The new IRA must be established to transfer the assets to before the Recharacterization can be processed. Provide a completed Adoption Agreement (if applicable).

- 2. Transfer the following assets to:
- Account No.: Traditional IRA Roth IRA
 SEP IRA SIMPLE IRA

Please select one type only:

- 3. Recharacterization Type:
- Recharacterize my Roth IRA conversion contribution made for tax year:
(Note: A converted conduit IRA that is recharacterized back to a Traditional IRA may retain its status as a conduit IRA. The assets must not be commingled, including during the conversion or recharacterization process.)
 - Recharacterize my Traditional IRA contribution as a Roth IRA contribution for tax year:
 - Recharacterize my Roth IRA contribution as a Traditional IRA contribution for tax year:
(If you are 70½ or older you cannot recharacterize a Roth IRA contribution as a Traditional IRA contribution.)

Please continue to page two to complete this form.



C Initial IRA Contribution

Information from IRA being Recharacterized:

Contribution Date:

Contribution Amount:

If no selection is made, Millennium Trust will recharacterize all the IRA assets in the referenced account(s).

I wish to Recharacterize:

- ▶ **Entire** contribution amount.
 - Check this box if you wish to keep your existing IRA account open. Annual account fees may apply. **If this box is not checked the account will be closed when all the assets are recharacterized.**
- Partial** contribution amount. \$

Payment Details:

Must be provided if known, otherwise Millennium will calculate the earnings.

- ▶ Contribution amount requested: \$
- ▶ Earnings Attributable (+): \$
- Administration Fee (-): \$
- Net Amount Recharacterized: \$

List all assets to be Recharacterized below.

Quantity (# of Shares, \$ Amount, or "ALL")	Description of Asset (Name of Fund, Security or Asset)

Please attach a copy of your most current statement from your investment sponsor. If you do not provide a current statement, Millennium Trust Company will process your recharacterization as of the last known value of your investment.

D Account Owner Acknowledgement

I certify that I have established an account with the Custodian named in Section B2. Any option I have selected, and any third party action or access I have previously authorized on my account shall carry over and be effective to the IRA being Recharacterized. I certify the accuracy of the information given above and that this election is made by my tax-filing due date (including extensions). I authorize this transaction and understand that I am responsible for any consequences resulting from this transaction including any taxes and/or penalties that may be due. I agree to indemnify and to hold the Custodian harmless from any situations arising from an ineligible re-characterization. I acknowledge that I cannot revoke or modify this election and that my Custodian cannot provide legal advice. I will consult with my own tax professional for advice.

Please read, sign, and date to authorize this Recharacterization.

- ▶ Signature of IRA Owner: _____
- Date: _____