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RIA AUTHORIZED DIRECTION DISTRIBUTION REQUEST

Account Owner must have an Account Owner Authorization For RIA Directed IRA or Custody Account Distribution form already on file at Millennium Trust. If there should be changes to the type of distribution or the withholding, the Account Owner must submit a revised Account Owner Authorization For RIA Directed IRA or Custody Account Distribution form to Millennium Trust. This form is for distributions to the Account Owner, not transfers to other accounts owned by the Account Owner. Distribution may take up to 15 days to process.

Please print after completion. For assistance with this form, contact a Client Service Specialist during regular business hours, Monday - Friday, 8:00 am to 4:30 pm CT.

A Investment Advisor Information

Firm Name:

Investment Advisor's Name:

Address:

City:

State:

Zip:

Phone No.:

E-mail:

Please select one: ►

- This is a new distribution request.
- This is a change to the periodic or systematic distribution request previously established in the amount of \$ _____.

B Account Information

Account Owner's Name:

Millennium Account No.:

Address:

City:

State:

Zip:

Date of Birth:

Please select one: ►

- | | | |
|---------------|--------------------------------|------------|
| Account Type: | Traditional IRA | SIMPLE IRA |
| | Roth IRA | SEP IRA |
| | Non-Retirement Taxable Account | |

Please continue to page two to complete this form.



C Amount And Method Of Distribution

Complete this section to direct a current distribution.

Please select one:

- ▶ Total distribution of the entire account and close account. **(All assets will be liquidated.)**
- Partial distribution of \$ _____ (Tell us what to sell in Section C1 if insufficient cash.)
- Systematic Payments (Please complete Section D.)

Note: If funds are invested in alternative assets that are being sold pursuant to a direction from the Account Owner, or your direction, if you have been given that authority by the Account Owner, the timing of liquidation will vary depending on where the funds are invested.

1. List all assets to be liquidated or re-registered below. (Cash investments will be automatically liquidated.) **Note:** All liquidation requests are subject to processing times based on current volumes at the time this request is submitted. *Execution price is not guaranteed.* Due to market fluctuations, you may wish to execute your publicly traded security transaction(s) online to ensure desired execution timing. **A current asset valuation is required to process this request. Please attach a copy of your most recent sponsor-provided asset statement.**

Liquidation or Re-Registration fees may apply. ▶

Liquidate* or Re-Register	Asset Name/Description	Dollar Amt/All
<input type="radio"/> <input type="radio"/>		
<input type="radio"/> <input type="radio"/>		
<input type="radio"/> <input type="radio"/>		

If additional space is needed, please attach a separate page.

***If any asset cannot be liquidated, we will inform you and then you can direct us as to what portion of the asset, if any, you want re-registered to you. Please contact your investment sponsor with questions about their inability to liquidate an asset for cash.**

2. Select the method that funds should be sent to Millennium Trust from assets sold.
 - Check (Upon receipt of funds, a 5 business day hold is required before funds are disbursed.)
 - Wire Transfer (Additional fees may apply.)

MTC will request funds be sent by check unless otherwise indicated. ▶

Millennium Trust Company, LLC is not responsible if the asset has its own required delivery method.

Remember: When selecting a payment amount, that amount, if a taxable distribution, will be reduced by the tax withholding unless the Account Owner has affirmatively directed Millennium not to do any tax withholding.

D Systematic Payments

Only complete this section if you checked the "Systematic Payment" box in Section C. This distribution form needs to be received by the 1st of the month in which the first systematic payment is to begin. Please note that checks received are subject to a 5 day hold and may impact the availability of dollars to fund this recurring distribution. Systematic payments, including your tax withholding election, will continue until Millennium receives written direction to cancel.

Note: Systematic payments with a set dollar amount will be discontinued if there are three consecutive failed attempts due to insufficient cash.

- New/Set Up Change of Instructions (Complete entire form.)

Amount of systematic payment: \$ _____

If cash funds are not available at the time of distribution, your check or ACH will not be issued as scheduled. ▶

Starting Month: _____ Day Preferred: 5th 15th

(If Day is not selected, Millennium will default to payments being issued on the 15th of the month.)

Frequency of future payments: Monthly Quarterly

Remember: When selecting a payment amount, that amount, if a taxable distribution, will be reduced by the tax withholding unless the Account Owner has affirmatively directed Millennium not to do any tax withholding.

E Payment Delivery

Please select your delivery method from the following four options. If a check is selected, it will be sent to you via U.S. Mail

Any taxable distribution request over \$200,000 will be processed as a Direct Deposit to Bank (ACH) or Wire.

- Mail a check to the Account Owner’s address of record.
- Direct Deposit to Bank (ACH) - Standard NACHA Operating Rules apply.
- Wire funds - Not available for Systematic payments. *Additional fees may apply.*

Funds may only be sent to the accounts at those banks designated by the Account Owner on the Account Owner Authorization For RIA Directed IRA or Custody Account Distribution form. If more than one account was authorized by the Account Owner, list the account for this distribution below:

Bank Name:

Bank Address:

City:

State:

Zip:

ABA Routing No.:

Bank Account No.:

Name of Bank Account:

F RIA Acknowledgement And Signature

The undersigned, the Investment Advisor identified in Section A of this form, hereby acknowledges and agrees to the following:

1. The Investment Advisor has been authorized by the Account Owner identified in Section B of this form to direct Millennium Trust Company, LLC (“Millennium Trust”) with respect to distributions from the Millennium Account also identified in Section B as follows:
 - a. distribute funds to the Account Owner from the Millennium Account, in the amount or amounts specified by the Investment Advisor by any of the delivery methods which the Account Owner directed;
 - b. accept the Investment Advisor’s instructions as to the establishment and modification of systematic payments, including amount, starting date and frequency of systematic payments; and
2. Millennium Trust is entitled to rely on such authorization by the Account Owner until Millennium Trust receives a written or oral revocation on a recorded line thereof from the Account Owner; and
3. The Account Owner has made tax withholding elections with respect to the distributions so authorized, and Millennium is not responsible for and has no liability with respect to any state or Federal tax consequences relating to any distribution made at Investment Advisor’s direction in reliance on Account Owner’s direction.

Please make the above requested distribution(s).

Please sign and date to authorize the distribution(s). If the Investment Advisor is an entity, the signer must have been previously identified to Millennium Trust as an authorized officer of the firm.

Authorized Investment Advisor’s Signature

Date: