

(Millennium Trust Company is Non-ACAT eligible.)

To complete this application, you will need:

- Current Custodian's name, address, phone & fax number, and e-mail address
- Account Number with current Custodian
- **Copy of your most recent statement from your current Custodian (within past 3 months) if transferring assets**
- Millennium Account Number (if applicable)
- **Copy of your most recent statement from Alternative Investment Sponsor if transferring alternative assets**
- **Check with your current Custodian to determine if a Medallion Signature Guarantee is required for this transfer**

Please print after completion. For assistance with this form, please contact a Client Service Specialist during regular business hours, Monday - Friday, 8:00 am to 4:30 pm Central time.

A IRA Compatibility

Not all account types are compatible; there may be additional steps and forms required in some cases.

	<u>Current IRA Type</u>	<i>transfer to</i>	<u>IRA Type at Millennium</u>
Choose only one account type.	▶ Traditional IRA	▶	Traditional IRA SEP IRA
	Inherited Traditional IRA	▶	Inherited Traditional IRA
	Roth IRA	▶	Roth IRA
	Inherited Roth IRA	▶	Inherited Roth IRA
	SEP IRA	▶	SEP IRA Traditional IRA
	SIMPLE IRA	▶	SIMPLE IRA Traditional IRA (after 2 years from date of 1st deposit)

B Account Owner's Information

Enter Account Owner's full name. ▶ First: _____ Middle: _____ Last: _____

Social Security No. (9 digits required): _____ Daytime Phone No.: _____

Required if you have more than one account with Millennium. ▶ E-mail Address: _____

▶ Millennium Account No.: _____

Please continue to page two to complete this form.



C Current Custodian Information

Incomplete information may delay the transfer. ▶ Current Custodian (*Name of Transferring Firm*):

Custodian Street Address:

City:

State:

Zip:

Custodian Phone No.:

Fax No.:

E-mail Address for Current Custodian:

Account No. w/ Current Custodian:

D Transfer of Current IRA

For each alternative asset being re-registered, you must complete an [“Investment Sponsor Contact Information”](#) form located on our website under the Forms tab. Please submit an Alternative Investment Statement from your Fund Sponsor before any transfer can be complete. For traditional investments, the dividend election from the prior custodian will not transfer over. Your account will automatically default to dividend reinvestment for mutual fund positions. Contact client service if you have any questions or issues.

Please choose only one of the following: Either a **Full or Partial** transfer.

▶ **Full Transfer** (Transferring ALL assets to Millennium)

Liquidate all assets and transfer entire balance as cash.

Transfer my entire account “In-Kind”. *Please specify the types of assets currently held in your account.*

Cash only

“In-Kind” assets only*

Both cash and “In-Kind” assets*

Partial Transfer (Transferring only some assets to Millennium)

ALL available cash

Transfer the following amount in cash: \$

Transfer In-Kind only the non-cash assets listed in the table below*

***If transferring non-cash assets “In-Kind”, Millennium requires a copy of your current custodian’s statement, dated within 3 months.**

Non-Cash “In-Kind” Assets for Partial Transfers:

Please list any **“In-Kind” assets (non-cash assets NOT being sold)** to be transferred. More assets can be added on the attachment page if needed.

Quantity (# of Shares, \$ Amount, or “ALL”)	Description of Asset (Name of Fund, Security or Asset)	Estimated Fair Value

Cash Delivery Option

Fee may apply for wire transfer– please check with your current Custodian.

▶ Wire cash to Millennium. If this box is not checked, the cash will be sent to Millennium via check and will be available 5 business days from date of deposit.

E Transfer Authorization

I certify that the assets listed in Section D are held in an IRA and authorize my current custodian to transfer these assets to Millennium. I understand that Millennium reserves the right to refuse custody of certain assets prior to final acceptance as Successor Custodian. If original documents are required, this transfer request will be sent to the current custodian via standard US Mail unless overnight delivery is selected.

- Overnight Delivery** - An additional fee may be charged to your Millennium account if you choose this option.

Print name:

Date:

Please read, sign, and date to authorize this transfer. ▶

Signature: _____

F Signature Guarantee

A Medallion Signature Guarantee may be obtained from an authorized officer at a brokerage firm, bank or other financial institution. Certification by a notary public is not a substitute for a signature guarantee. ▶

Please **check** with your current Custodian to determine if a Medallion Signature Guarantee is required.
[Medallion Signature Guarantee Stamp Here]

G Millennium Acceptance

This section to be completed by Millennium Trust Company. ▶

Millennium Trust Company will accept the above-captioned account as Successor Custodian.

_____ Date: _____
 Authorized Officer Signature

Millennium Trust Company, LLC , Tax Identification No. 36-4400066

Custodian *FBO*: _____ Account No.: _____

Millennium IRA Type

- | | |
|--|--|
| <input type="checkbox"/> Traditional IRA | <input type="checkbox"/> Inherited Traditional IRA |
| <input type="checkbox"/> Roth IRA | <input type="checkbox"/> Inherited Roth IRA |
| <input type="checkbox"/> SEP IRA | <input type="checkbox"/> SIMPLE IRA |

Mail Original Signed Documents to:

Millennium Trust Company, LLC
 Attn: Transfers Department
 2001 Spring Road, Suite 700
 Oak Brook, IL 60523

