CUSTODY SERVICES

Custodial Accounts

Required Documentation for Account Opening

Millennium custodies a wide range of custodial accounts including taxable accounts. You can choose from a wide range of assets as you direct all of the investment decisions on behalf of your account. Before you invest, it is important to educate yourself on alternative assets. When completing the document(s), be sure to include the required information to open the account. Missing information on the forms may cause a delay in the process. Millennium's forms can be located on our website in the Forms Library.

ACCOUNT TYPE	REQUIRED DOCUMENTS	REQUIRED INFORMATION[1,2]
Custodial Accounts for: • Individuals • Joint Tenants with Rights of Survivorship • Tenants in Common • Trusts • Minors • Conservatorships • Guardianships • Estates	**Custodial Account Adoption Agreement for Individual & Trusts** form *(signed and dated by Account Owner)* Addition documents needed based on account type: • **Minors -** a copy of the birth certificate for the minor is required • **Trusts -** First page with Trust name and last page with Trustee's signature • **Conservatorships and Guardianships** - a certified copy of the Court order confirming the appointment • **Estates -** A certifed copy of the Court approved Letters of Appointment, or a Small Estate Affidavit	All personal information for Account Owner/Trustee/Guardian/ Custodian/Conservator/Executor including: • Full Legal Name • Tax Identification No. • Date of Birth • Residential Address • E-mail Address • Payment Method for account fees Additional For **Trusts**: • Trust Name • Trust Date • Tax Identification No. Additional For **Estates**: • Decedent's Full Name • Decedent's Social Security No. • Tax ID No. of the Estate
Custodial Accounts for Organizations	• **Custodial Account Adoption Agreement for Organizations** form *(signed and dated by Authorized Officer)* • Certificate of Good Standing issued by the Secretary of State • Proof of Signature Authority for type of entity: > **Limited Liability Company (LLC), Corporations and Non-Incorporated Organizations** - Provide Corporate Resolution certified by the secretary or assistant secretary as being in effect and current > **Partnerships (including LP and LLP) -** Provide Partnership Agreement • **Corporations** must also provide their Articles of Incorporation	Organization information including: • Entity Name • Tax Identification No. • Place of Business Address • Type of Entity • Payment Method for account fees All personal information for general or managing partner/ authorized officer including the following: • Full Legal Name • Tax Identification No. • Date of Birth • Residential Address • E-mail Address

Continue to next page for **more**

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Custodial Accounts for: • Solo 401(k) • Individual Profit Sharing Plans	**Custodial Agreement for Individual 401(k) or Profit Sharing Plans** form *(signed and dated by Trustee/Participant)* **Millennium Prototype Plan:** If selecting, you will be required to complete Millennium's Qualified Retirement Plan Standardized Adoption Agreement	Plan information including: • Plan Name and Type of Plan • Tax Identification No. • Place of Business Address Trustee and Participant information including: • Full Legal Name • Tax Identification No. • Date of Birth • Residential Address • E-mail Address
Custodial Account for Employee Benefit Plan • Plan Level Reporting • Investments are Trustee directed	**Custodial Agreement for Employee Benefit Plan** form *(signed and dated by Trustee/Co-Trustee)*	Plan information including: • Plan Name • Tax Identification No. • Place of Business Address Trustee/Co-Trustee information including: • Full Legal Name • Tax Identification No. • Date of Birth • Residential Address • E-mail Address
Trustee & Plan Participants Account • Participant Level Reporting • Investments are Trustee directed	**Trustee Agreement for Trustees and Plan Participants Accounts** form *(signed and dated by Trustee/Co-Trustee)*	Plan information including: • Plan Name and Type of Plan • Tax Identification No. • Place of Business Address Trustee/Co-Trustee and Participant information including: • Full Legal Name • Tax Identification No. • Date of Birth • Residential Address • E-mail Address
Custodial Account for Plan Participants • Participant Level Reporting • Investments are Participant directed	**Trustee Agreement On Custodial Accounts for Plan Participants** form *(signed and dated by Trustee/Co-Trustee and Participant)*	Plan information including: • Plan Name and Type of Plan • Tax Identification No. • Place of Business Address Trustee/Co-Trustee and Participant information including: • Full Legal Name • Tax Identification No. • Date of Birth • Residential Address • E-mail Address

[1] If you are a Non-U.S. person (living inside or outside the U.S.) or U.S. person living outside the U.S. you will also need to provide us with a Passport, verification of your address, and additional verification such as a driver's license or birth certificate if DOB is not visible on your passport.

[2] Millennium may request additional information after reviewing the documents.

For more information, visit us at mtrustcompany.com or call a client service specialist at 800.258.7878.

Millennium Trust Company performs the duties of a directed custodian, and as such does not provide due diligence to third parties on prospective investments, platforms, sponsors or service providers and does not sell investments or provide investment, legal, or tax advice.

ABOVE AND BEYOND CUSTODY®

MILLENNIUM TRUST COMPANY®