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# INVESTMENT DIRECTION

## A ACCOUNT INFORMATION

Account Owner's Name: \_\_\_\_\_ Millennium Account No.: \_\_\_\_\_  
Phone Number: \_\_\_\_\_ E-mail address.: \_\_\_\_\_

## B INVESTMENT DIRECTION

Millennium Trust Company LLC is hereby authorized and directed to execute the following transaction(s):

Purchase/Sell	Asset Description	No. of Shares Face Value/Units	Total Dollar Amount
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

Please send any applicable investment documentation and/or funds as follows:

- U.S. Mail
- Bank Wire
- Overnight Delivery (*Please Check One of the Following:*)
  - Third Party - Charge Carrier: \_\_\_\_\_ Account No. : \_\_\_\_\_
  - I hereby authorize the applicable overnight delivery fee be charged to my account for this service request.

## C INVESTMENT ADVISOR INFORMATION

Name of Broker/Dealer or firm who executed this transaction(s) or through which I direct this transaction(s) to be executed, if applicable:

Rep. Name & Agent No.: \_\_\_\_\_ Broker Dealer: \_\_\_\_\_  
Firm Name: \_\_\_\_\_ Address: \_\_\_\_\_  
City: \_\_\_\_\_ City: \_\_\_\_\_  
State: \_\_\_\_\_ Zip: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_  
Phone Number: \_\_\_\_\_ Phone Number: \_\_\_\_\_

## D CONDITIONS AND LIMITATIONS

Millennium Trust Company LLC (Millennium) shall maintain sole custody of all property acquired under the account, but may, at its option, transfer such property to another bank, trustee or custodian of its own selection for safekeeping. The sole obligation of Millennium in its capacity as Custodian shall be the safekeeping of property upon receipt and acceptance of such property under the Custodial agreement. Millennium shall be under no duty, obligation, or responsibility to ascertain and verify by inspection or otherwise that the property so held by it is the same as that represented and documented by the seller. The Account Owner shall hold Millennium harmless and indemnify it against any and all claims, demands, or causes of action arising from any variation in description, quality, specification or otherwise between what has been represented and documented by the seller and that which is held in safekeeping for the benefit of the Account Owner, including but not by way of limitation, any and all necessary court costs, attorneys' fees or other expenses incurred by the Custodian.

Millennium shall have no duty, liability or responsibility to insure the proper shipment of property to its institution, and shall be held harmless and indemnified by the Account Owner from any and all claims, demands or causes of action arising from this investment direction, including but not by way of limitation, any and all necessary court costs, attorneys' fees or other expenses incurred by the Custodian.

*Please continue to page two to complete this form.*



**E ACCOUNT OWNER'S ACKNOWLEDGEMENT AND SIGNATURE**

I hereby acknowledge that I have reviewed all pertinent information relating to the above transaction(s) (e.g., prospectus, offering, etc.); that I meet the specified suitability requirements; and that this investment does not constitute a Prohibited Transaction as defined in Internal Revenue Code Section 4975. I further acknowledge and I have read and that I agree to abide by the terms, conditions and limitations concerning permitted investments and other statements contained on this form, and any other supporting documents now existing, or as determined necessary from time to time by Millennium. I also hereby acknowledge that Millennium as Custodian has made no representations, recommendations, or other statements concerning the investment I have indicated on this form for them to purchase on my account's behalf, nor has Millennium made any representations or recommendations regarding any agents, brokers or institutions which whom I now or in the future may be dealing. I have been advised to make the appropriate investigation of such persons or institutions before directing the Custodian to make any transfer of funds from the account.

Account Owner's Signature: \_\_\_\_\_ Date:

**\*A VALID COPY OF YOUR DRIVER'S LICENSE IS REQUIRED FOR SUBMISSION OF THIS FORM.**