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SELF-CERTIFICATION FOR A WAIVER OF THE 60-DAY ROLLOVER REQUIREMENT

Use this form to direct a rollover contribution to your IRA or retirement plan after the 60-day rollover period has passed if you claim eligibility for the IRS 60-day rollover waiver.

Please print after completion. For assistance with this form, please contact a Client Service Specialist during normal business hours. (Monday - Friday, 8:00 am to 4:30 pm Central time.)

A Account Information

Account Owner's Name:

Millennium Account No:

Daytime Phone No.:

Contribution Amount:

B Reason for Late Contribution

I intended to make the rollover within 60 days after receiving the distribution but was unable to do so for the following reason(s):

Select all that apply. ➤

- An error was committed by the financial institution making the distribution or receiving the contribution.
- The distribution was in the form of a check and the check was misplaced/never cashed.
- The distribution was deposited into and remained in an account that I mistakenly thought was a retirement plan or IRA.
- My principal residence was severely damaged.
- I or one of my family members was seriously ill.
- One of my family members died.
- I was incarcerated.
- Restrictions were imposed by a foreign country.
- A postal error occurred.
- The distribution was made on account of an IRS levy and proceeds of the levy have been returned to me.
- The party making the distribution delayed providing information that the receiving plan or IRA required to complete the rollover despite my reasonable efforts to obtain the information.

C Certification and Signature

I certify that my contribution amount listed missed the 60-day rollover deadline for the reason(s) selected above, pursuant to Internal Revenue Service (IRS) Revenue Procedure 2016-47. I am making this contribution as soon as practicable after the reason(s) no longer prevent me from doing so. I understand that this certification concerns only the 60-day requirement for a rollover and that, to complete the rollover, I must comply with all other tax law requirements for a valid rollover and with your rollover procedures.

Millennium Trust, unless having actual knowledge to the contrary, may rely on this certification to show that I have satisfied the conditions for a waiver of the 60-day rollover requirement for the amount identified but may not rely on this certification in determining whether the contribution satisfies other requirements for a valid rollover.

I declare that the representations made in this document are true and that the IRS has not previously denied a request for a waiver of the 60-day rollover requirement with respect to a rollover of all or part of the distribution to which this contribution relates. I understand that in the event I am audited and the IRS does not grant a waiver for this contribution, I may be subject to income and excise taxes, interest, and penalties. If the contribution is made to an IRA, I understand you will be required to report the contribution to the IRS. I also understand that I should retain a copy of this signed certification with my tax records.

Signature: _____ Date: _____

