



Marketplace Lending

Today's investors place a premium on increased transparency and limiting operational risks. Our custody solutions can help you comply with current regulations, introduce independent checks and balances into fund operations, and create operational efficiencies, so you can deliver the transparent, institutional-quality investments your clients demand.

Custody Services for Marketplace Lending

Millennium's simple, creative, technology-driven custody solutions are tailored to meet a growing range of needs. As marketplace lending continues to expand and investors seek to diversify not only by loan type and credit quality but across geographic regions, we collaborate with small and large institutions to help solve the more complex issues that often arise.

Today, we provide our custody solutions to:

- Private Funds
- Lending Platforms
- '40 Act Funds
- RIAs

We can work with both U.S. based and global platforms, including Europe and Australia.

How Institutions May Benefit by Using Our Custody Services

REGULATORY

Custody of assets under SEC Rule 206(4)-2 under the Investment Advisers Act of 1940 (Custody Rule)

ENHANCED TRANSPARENCY

Quarterly statements showing fund-level cash flow

REDUCE OPERATING BURDEN

Millennium Trust holds title to assets and cash funds, centralizing contact for fund investments

COOPERATION WITH AUDITOR FOR REGULATORY REVIEWS

Supports regulatory reviews under Custody Rule



Custody

Securities and cash are held on behalf of a fund and quarterly statements are provided in compliance with the Custody Rule 206(4)-2 under the Investment Advisers Act of 1940.



Safekeeping

Loan documents providing evidence of ownership are held in safekeeping in Millennium's secure vault.



Verification

An agreed upon set of data points are verified with the lending platforms for loans being used to secure a line of credit with a leverage provider.



We are your ally in the custody of investment assets — both traditional and alternative — to help you meet regulatory standards and high client expectations.

Service

Dedicated account managers help handle day-to-day custody and account maintenance responsibilities, so you can focus on growing your business with confidence. We don't just take care of the details, we look above and beyond to provide your business with exceptional service.

Access

Millennium Trust continues to expand access to custody services in the U.S. and internationally. Partnering with new platforms in new markets, we pride ourselves on adapting our solutions to meet evolving needs. Once on board, we've streamlined processes for setting up and managing accounts. Transparent quarterly reporting and regular data delivery to portfolio managers and account stakeholders help make the process fluid and flexible.

Expertise

Millennium Trust leverages our expertise in alternatives to provide specialized custody solutions for financial institutions and funds.

Our team of experts is at the forefront of understanding regulatory complexities, as well as the transparency needs of investors. We work with a wide range of financial firms — including hedge funds, marketplace lenders and private equity funds — and are committed to optimizing our custody solutions for your business needs.

ABOUT MILLENNIUM TRUST



Privately-owned trust company headquartered in Chicago area

Specializing in custody and retirement services

Over 1.2 million client accounts

More than \$26.6 billion assets under custody

Regulated by the State of Illinois



Established in 2000, Millennium Trust is an expert provider of custody solutions committed to the evolving needs of advisors, financial institutions, businesses, and individual investors. Millennium Trust empowers clients with trusted expertise, exceptional service and access to a wide range of custody solutions. Whether you are managing alternative assets, investment accounts or retirement funds, we are uniquely qualified to service your custody needs.

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For more information, visit us at mtrustcompany.com or call us at 866.388.9419

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