

# Expand Your Opportunities with Specialized Custody Solutions

Millennium Trust has more than a decade of experience providing custody solutions for many major financial institutions, investment sponsors and advisors, as well as individual investors. We not only understand the nuances of alternatives and how to effectively deliver services, but stand out as an innovator in the financial services industry.

## Investors are looking for new ways to enhance risk-adjusted returns and diversify their portfolios.

Alternative assets account for more than an estimated \$10.8 trillion in global assets under management. Over the last five years this amount has increased at seven times the rate of traditional assets. While institutional investors have been responsible for the majority of this growth, as alternatives move further and further into the mainstream, individual investors will likely include allocations to these non-traditional assets in their investment portfolios.

### Working with Millennium Trust

By choosing Millennium Trust as custodian, investors can expect:

- Dedicated relationship team
- Pre-custody process
- Preferred Institutional Pricing
- No minimum account balance requirement
- Online access to account, forms and tools
- Tax-advantaged solutions
- Institutional Account Integration team for easy transfer of multiple accounts or complex relationships

## Important Attributes of a Specialized Custodian

### EXPERIENCE

Since 2000, Millennium Trust has focused on delivering custody solutions to investment sponsors, advisors, and individual investors. Millennium provides custody services to over 1 million accountholders with total Assets Under Custody of over \$26.6 billion. We custody more than 15,000 unique assets held in a broad range of accounts.

### DEDICATED RELATIONSHIP TEAM

Our Custody Services team is regionalized to better serve our client relationships with dedicated teams supporting each region. Our Relationship Management Team is comprised of a Regional Director, a Senior Regional Account Manager and a Senior Account Manager—all aligned to deliver the highest level of service to our clients.

### REGULATORY OVERSIGHT

Millennium Trust is chartered by the State of Illinois and is examined annually by the Illinois Department of Financial and Professional Regulation (IDFPR). Additionally, we are audited each year by two separate and independent audit firms.

### CONTROLS

Systematic internal controls are employed to protect the quality and integrity of operations and provide a secure environment for the custody of client assets. We employ Service Organization Controls (SOC), a series of accounting standards that measure the control of financial information for a service organization.

### FDIC COVERAGE FOR IDLE CASH IN IRAS

Millennium Trust provides a Cash Sweep Program for investment of idle cash in multiple unaffiliated banks providing up to \$5 million in FDIC insurance per account.

## We Custody a Broad Range of Alternatives

- Hedge Funds
- Real Assets
- Commodities/Futures
- Private Equity/Debt
- Marketplace Loans
- Traditional Assets

# Specialized Custody Solutions

## Millennium Fund Custody Services

The SEC's Custody Rule (Rule 206(4)-2 under the Investment Advisers Act of 1940) requires advisors with custody of client funds and securities to maintain those assets with a qualified custodian. A qualified custodian acts as an intermediary between those who manage the fund and those who invest in it.

Millennium Trust created Fund Custody Services to meet the growing needs of our clients by leveraging our years of experience in alternative asset custody. Our program provides solution for those seeking a qualified custodian in accordance with the Custody Rule.

We are your ally in the custody of investment assets — both traditional and alternative — to help you meet regulatory standards and high client expectations.

### Service

Dedicated account managers help handle day-to-day custody and account maintenance responsibilities, so you can focus on growing your business with confidence. We don't just take care of the details, we look above and beyond to provide your business with exceptional service.

### Access

Millennium Trust continues to expand access to custody services in the U.S. and internationally. Partnering with new platforms in new markets, we pride ourselves on adapting our solutions to meet evolving needs. Once on board, we've streamlined processes for setting up and managing accounts. Transparent quarterly reporting and regular data delivery to portfolio managers and account stakeholders help make the process fluid and flexible.

### Expertise

Millennium Trust leverages our expertise in alternatives to provide specialized custody solutions for financial institutions and funds.

Our team of experts is at the forefront of understanding regulatory complexities, as well as the transparency needs of investors. We work with a wide range of financial firms — including hedge funds, marketplace lenders and private equity funds — and are committed to optimizing our custody solutions for your business needs.

## ABOUT MILLENNIUM TRUST



Privately-owned trust company headquartered in Chicago area

• Specializing in custody and retirement services

• Over 1.2 million client accounts

• More than \$26.6 billion assets under custody

• Regulated by the State of Illinois



Established in 2000, Millennium Trust is an expert provider of custody solutions committed to the evolving needs of advisors, financial institutions, businesses, and individual investors. Millennium Trust empowers clients with trusted expertise, exceptional service and access to a wide range of custody solutions. Whether you are managing alternative assets, investment accounts or retirement funds, we are uniquely qualified to service your custody needs.

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For more information, visit us at [mtrustcompany.com](http://mtrustcompany.com) or call us at 866.388.9419

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