

Account Fees

One-time Establishment Fee (Non-refundable)	\$50
Establishment fee is waived for accounts opened through online Account Open Application.	
Annual Account Fee¹	\$100
Accounts can hold multiple Traditional and Alternative Assets.	

Investment Custody Fees
Alternative Assets

	Annual ² Holding Fee
Hedge Funds, Private Equity, Private Debt, Managed Futures and Marketplace Loans	
o Invested through MAIN - Per Holding (Millennium Alternative Investment Network)	\$100
o All other Investments	
• One Holding	\$350
• Two Holdings	\$450
• Three Holdings	\$550
• Four or more Holdings	\$650
Futures/Forex Holdings (per account) Additional \$50 establishment fee per FCM	\$200
Real Estate (per holding) Special handling fee for certain items	\$600
Public Non-Traded REITs/BDCs	
• One Holding	\$ 75
• Two Holdings	\$150
• Three or more Holdings	\$200
Precious Metals (per account) - Included in Annual Account Fee ³	—

Traditional Assets

	Investment Online	Phone Order ¹
Mutual Funds		
• No Trans. Fee	\$ 0	\$ 25
• Trans. Fee	\$10	\$ 25
Publicly-Traded Securities*	\$10	\$ 25
*Plus brokerage commission listed below (\$8 min.)		
• 1-1000 shares	\$0.020/share	
• 1001-5000 shares	\$0.015/share	
• 5001+ shares	\$0.010/share	
Bonds/CDs	N/A	\$ 50

Processing Fees

990-T Form - Tax Filing (per form)	\$50	Administer Third Party Brokerage Account	\$50 annual fee
- Federal Tax Payment Electronically (per occurrence)	\$30	Duplicate Statements	\$30 each
- State Tax Payment by check	No charge	MTC Prototype IND PS/401k Plan Doc.	\$100 annual fee
Account Termination ⁴	\$100	Paper Statement/Paper Invoice	\$30 annual fee
Cashiers Check	\$50	Prom Notes & Extensions Transaction Fee	\$150
Direct Real Estate Transaction fee	\$350	(Non-MAIN accounts only)	
Expedited Service/Special Handling (per hr./1 hr. min.)	\$75	Qualified Plan Form 5500 prep for 401k	\$300
Late/Stop Pay, Chargeback, CC Decline, Return Ck/Wire	\$30	Qualified Plan Loan (Origination/Maint.)	\$75
Outgoing Asset Transfer/Re-registration (per holding) ^{3,4}	\$150	Renewal Fee for CDs	\$25
Outgoing Wire/Overnight Delivery Fee - Domestic	\$30	Roth Conversion or Recharacterization ^{4,5}	\$50
- International	\$75		

Service & Administration Fees - For IRAs and other retirement accounts, Millennium charges a fee for the servicing and administration of the Cash Sweep Program and other custody services, which varies over time, as a percentage of the average cash balance held by your Account in the Program. This fee, which may not exceed an annualized rate of 2.75%, is deducted from the interest earned from the FDIC-insured, interest-bearing bank demand accounts in the various unaffiliated banks utilized in the Cash Sweep Program, with the net paid to your Account monthly. See your Custodial Agreement for further details.

Annual fees are not prorated. First year's annual account fee will be processed within 60 days of account opening and annually thereafter. Fee payment methods include: credit card, bank account debit, or invoice. For unpaid invoices, a late payment fee of \$30 will be assessed after 30 days of the invoice date and every month thereafter until payment is made.

¹Includes purchases, sales, transfers in, liquidations, capital calls, etc. ²Does not apply to individual transactions within a brokerage or futures account. ³For accounts that only hold Precious Metals through an investment platform, the holding fee is included and the outgoing re-registration fee is reduced to \$50 per asset. Respective holding and outgoing re-registration fees apply if other asset types are added to the account. ⁴Plus any re-registration of assets charged by third-party. ⁵\$50 re-registration fee per asset applies.