MILLENNIUM
TRUST COMPANY

2001 Spring Road, Suite 700
Oak Brook, IL 60523
866.388.6771 Telephone
630.472.5969 Fax

www.aics.mtrustcompany.com

INVESTMENT ADVISOR ACKNOWLEDGEMENT

A Advisor Information

Firm Name: Firm CRD No. (optional):

Representative Name:

Title: Advisor CRD No. (optional):

Daytime Phone No.: Last Four Digits of SSN:

Address:

City: State: Zip:

E-mail Address:

B Authorizations & Account Access Options

The undersigned Advisor acknowledges that certain clients of the Advisor who have accounts with Millennium Trust Company, LLC ("Millennium") have granted or will grant the Advisor the following authority as to each of their respective account(s): (1) to discuss the account with Millennium; (2) to direct the purchase of (i) traditional assets; and (ii) additional investments in alternative assets currently held in the account to the extent there are sufficient funds available in the account (3) to direct transfers from the Millennium account to a client's corresponding account with a third party; (4) to direct the full or partial sale, liquidation or collection of any assets held in the account and (5) Receive duplicate account statements and notices.

As the Advisor, you are automatically enrolled in the **Millennium Account Portal (MAP)** which allows Advisors to monitor the account opening process, obtain real-time updates on the status of clients' accounts, download client data into their Portfolio Management System, upload files and send and receive secure messages to/from Millennium's Client Service Team.

There are several other options to monitor your clients' account activities at Millennium Trust Company. Please select from the options below:

Select all that apply: ►

- Ĭ **Millennium Trust Online -** Millennium Trust Online provides Advisors access to their clients' accounts 24/7. The Advisor can view their clients' portfolio holdings, investment activity and quarterly statements as well as trade traditional assets.
- Ĭ **Duplicate Account Statements** - As a courtesy, client statements are delivered electronically to the Advisor via **MAP**.
- Ĭ **Data Downloads** - Download my client's data:
  - Ĭ Via Schwab portal

    Firm's Master Account No.:
  - Ĭ Portfolio Center direct to the Advisor
  - Ĭ Another third-party portfolio management system provider.

    Specify:

Please continue to page two to complete this form

C Client Annual Account Fees

Please select one of the following options ►

☐ My client(s) will pay the establishment and annual account fees.

☐ I will pay my client(s) establishment and annual account fees and agree to the terms below.

The advisor, firm or individual (the "Advisor") has agreed to pay the establishment and annual account fee for the account holder's Millennium Account ("Annual Fees"). The Advisor understands that in the second month following the account establishment and every year thereafter, an invoice will be sent for the total fees due for the client's account. Millennium Trust requires the client to provide ACH or credit card information that will remain on file for unpaid invoices. A late payment fee will be assessed 30 days from date of the invoice and then Millennium will charge the client's payment method on file for the past due amount. **Miscellaneous fees or transaction charges will automatically be charged to the client's account at the time of transaction**.

The Advisor certifies it has received a copy of Millennium's fee schedule and understands that the fees, including the Annual Fees and late payment fees, are subject to change at any time. The Advisor authorizes Millennium to invoice the Advisor for the Annual Fees listed in the fee schedule. The Advisor understands that they are responsible to ensure that the payments are remitted immediately when due. The Advisor agrees that this arrangement will remain in full force and effect until Millennium has received written notification from the Advisor or the Account Owner of its termination in such time and such manner as to afford Millennium a reasonable opportunity to act. The Advisor agrees that Millennium shall have no duty, liability or responsibility to question the authorization herein and shall be held harmless and indemnified by the Advisor from any and all claims, demands or causes of action arising from the authorization, the payment of the Annual Fees by the Advisor, or the Advisor's failure to pay the Annual Fees while the authorization remains in force, including but not by way of limitation, any and all necessary court costs, attorneys' fees or other expenses incurred by Millennium.

D Account Access - Add and Remove Authorized Individuals

Part I
Access For Advisors

Full view/access to information as well as trading capabilities for traditional investments is available to those Advisors listed below. Access to client information may be limited by Advisor to their respective list of clients. If restricted access per Advisor is required, a list of client names by Advisor must be provided and attached.

☐ Add ☐ Remove

☐ Full view of all client activity ☐ Limit view to Advisor's respective client information only. (See list of client names attached.)

Name: Advisor CRD No.

E-Mail: Last Four Digits of SSN:

Signature (Add only): ______________________________

Account Access Options (choose all that apply): ► ☐ MAP Millennium Trust Online: ☐ Account Access ☐ Online Trading Access

☐ Add ☐ Remove

☐ Full view of all client activity ☐ Limit view to Advisor's respective client information only. (See list of client names attached.)

Name: Advisor CRD No.

E-Mail: Last Four Digits of SSN:

Signature (Add only): ______________________________

Account Access Options (choose all that apply): ► ☐ MAP Millennium Trust Online: ☐ Account Access ☐ Online Trading Access

Part II
Access For Management/ Administrative Personnel

Full view/access to information is available to those individuals listed below. Additional access to MAP may be provided, but must be specifically requested below.

☐ Add ☐ Remove Access Options: ☐ MAP ☐ Millennium Trust Online

Name: Advisor CRD No.

E-Mail: Last Four Digits of SSN:

Signature: ______________________________

Please continue to page three to complete this form

E Advisor Acknowledgement and Signature

The Advisor hereby accepts such authority over each account, current and future, and agrees that in exercising such authority the Advisor shall be bound by all the terms and conditions that govern such accounts at Millennium. These terms and conditions include, but are not limited to, the Custodial Agreement and Millennium's policies and procedures governing the accounts including online access to information concerning the accounts. The Advisor acknowledges each client's account will be bound by the Advisor's/Firm's exercise of such authority and the Advisor accepts that responsibility.

The Advisor agrees that it is responsible for providing accurate information to Millennium concerning any changes to their contact information and Millennium bears no responsibility and assumes no liability for the accuracy of, or changes to, this information. By signing this form, I, the undersigned, certify that I have the authority to sign on behalf of the Advisor and that I am also an authorized signer.

Sign and date to authorize as Advisor Representative.

Authorized Signature: ____________________

Date:

For Internal Use Only:

Advisor Code: Faxed Date: