IRA-to-IRA Account Transfer Authorization Instructions

Please use the instructions below to complete the IRA-to-IRA Account Transfer Form.

Steps

Section A: Current IRA Account Information

- Include your current IRA Custodian's name, address, telephone number, fax number and email address. In addition, include your name, social security number and the account number at your current IRA Custodian.
- Indicate the type of IRA at your current IRA Custodian by marking the appropriate box on the form.

Section B: Transfer of Current IRA Account

From the options provided, please select the action you want to occur in the transfer of your account. You will need to mark "complete", if you wish to transfer your entire account to Millennium. If wish to transfer a portion of your account to Millennium, you will need to mark "partial".

- "Liquidate" means that asset(s) will be sold and the proceeds sent to Millennium. Please instruct your current IRA Custodian to liquidate assets prior to completing and submitting this form.
- An "In-Kind" transfer means that the asset(s) will not be sold, but the ownership will change to your Millennium IRA.
- If you are not requesting a complete transfer in-kind of your asset(s), then list all assets to be transferred in the spaces provided in the "In-Kind Instructions" area. Attach a separate list if needed.
- Indicate if you want your funds wire transferred. **Note: Please check with your current IRA Custodian; they may apply a fee for this service.**

Section C: Transfer Authorization

- Read the certification, sign and date the IRA-to-IRA Account Transfer Authorization form and if you are an existing client please include your Millennium IRA Account Number.
- Please contact your current IRA Custodian to inquire if they require your signature to be guaranteed. If so, your local bank or brokerage firm may offer this service. **Note: A notary public is not acceptable.**
- Please indicate if you want your form to be sent by overnight delivery to your current IRA Custodian.

Section D: Millennium Acceptance

If the IRA-to-IRA Account Transfer Authorization Form is complete, Millennium will sign the acceptance subject to a review of the assets and send the request to your current IRA Custodian. If the form is missing required information, it will be returned to you to be completed.

Other Information

- **You must include a copy of your most recent statement (dated within three months) from your current IRA Custodian.**
- The timing of the funding of your Millennium IRA is dependent upon when your current IRA Custodian processes the transfer which can take up to four weeks or more. Questions on any delays you might experience should be directed to your current IRA Custodian.

Submission Options

Original signatures are required. Please send all original documents by regular mail or overnight delivery to:

Millennium Trust Company, LLC
Attn: Transfer Department
820 Jorie Boulevard, Suite 420
Oak Brook, Illinois 60523

Questions?

For assistance, please contact a Client Service Specialist at 800.560.1288.

Millennium Trust Company

820 Jorie Blvd. Suite 420
Oak Brook, IL 60523
800.560.1288 Telephone
630.368.5694 Fax

www.mtrustcompany.com

IRA-TO-IRA ACCOUNT TRANSFER AUTHORIZATION

(Millennium Trust Company is Non-ACAT eligible.)

For Advisor Services Clients Only

A CURRENT IRA ACCOUNT INFORMATION

Please fill in all information:

Current Custodian *(Name of Transferring Firm)*

Re: Account Owner's Name

Custodian Street Address

Social Security No.

City State Zip

Account No. w/ Current Custodian

Phone No.

Fax No.

E-mail Address

IRA TYPE: ☐ Traditional ☐ Roth ☐ SEP ☐ SIMPLE

☐ **This account is also an inherited IRA.**

B TRANSFER OF CURRENT IRA ACCOUNT

NOTE: A COMPLETE COPY OF YOUR CURRENT ACCOUNT STATEMENT MUST BE RECEIVED WITH THIS IRA-TO-IRA ACCOUNT TRANSFER AUTHORIZATION BEFORE ANY TRANSFER/LIQUIDATION CAN BE SUBMITTED.

Please choose either a Complete or Partial transfer:

☐ This is a **COMPLETE** transfer of the assets in my existing account. **Please choose one of the following:**

- ☐ Transfer my entire account IN-KIND. (*Assets will be re-registered/money market funds will be transferred as cash.)*
- ☐ Liquidate all assets and transfer as cash. Estimated amount: $ ______
  (*Account Owner must instruct current IRA Custodian to liquidate all assets prior to submitting this form.)*
- ☐ Transfer $ ______ in cash and transfer IN-KIND all assets listed on the lines below: (*NOTE: If there is insufficient cash in your IRA, you must instruct your current IRA Custodian to liquidate the comparable value in assets.)*

☐ This is a **PARTIAL** transfer of the assets in my existing account. **Please choose one of the following:**

- ☐ Transfer $ ______ in cash. (*NOTE: If there is insufficient cash in your IRA, you must instruct your current IRA Custodian to liquidate the comparable value in assets.)*
- ☐ Transfer IN-KIND only the assets listed on the lines below.
- ☐ Transfer $ ______ in cash and transfer IN-KIND all assets listed on the lines below: (*NOTE: If there is insufficient cash in your IRA, you must instruct your current IRA Custodian to liquidate the comparable value in assets.)*

☐ Check here if you would like your funds wired. (*Fee may apply — please check with your current Custodian.*)

Please continue to page two to complete this form.

B TRANSFER OF CURRENT IRA ACCOUNT CONTINUED

In-Kind Instructions:

Quantity (# of Shares or $ Amount)	Description of Asset (Name of Fund, Security or Asset)	Estimated Fair Value

C TRANSFER AUTHORIZATION

I certify that the assets listed above are held in an IRA. If I am over 70½, I attest that none of the amount to be transferred to Millennium (MTC) will include any sums so required to be distributed under IRS minimum distribution rules, and Millennium may assume that all amounts received are eligible. I understand that Millennium reserves the right to review all assets being transferred prior to final acceptance as Successor Custodian. To expedite this transfer, I have provided Millennium with complete information, and I will check with my current Trustee to determine when the transfer will be processed.

Please check with your current Trustee/Custodian to determine if a Medallion Signature Guarantee is required.

[Medallion Signature Guarantee Stamp Here]

A Medallion Signature Guarantee may be obtained from an authorized officer at a brokerage firm, bank or other financial institution. Certification by a notary public is not a substitute for a signature guarantee.

Name:

____
Signature

Date

Millennium Account No.:
(Leave account number blank if you are a new account owner)

This form will be sent to your current Custodian by regular U.S. Mail unless overnight delivery is requested.

☐ Yes, I authorize Millennium to send by overnight delivery (*A $25 fee will be charged to your Millennium Account*).

D MILLENNIUM ACCEPTANCE

Millennium Trust Company will accept the above-captioned account as Successor Custodian.

______________________________ Date:
Authorized Officer Signature

Millennium Trust Company, LLC Custodian *FBO:*

Account No.:

820 Jorie Blvd., Suite 420
Oak Brook, IL 60523

Date Mailed:

Tax Identification No. 36-4400066

IRA TYPE:

- ☐ Traditional
- ☐ Inherited Traditional
- ☐ Roth
- ☐ Inherited Roth
- ☐ SEP
- ☐ SIMPLE

PLEASE DO NOT FAX THIS FORM.