



820 Jorie Blvd. Suite 420
 Oak Brook, IL 60523
 630.368.5600 Telephone

www.mtrustcompany.com

403(b) ACCOUNT EXCHANGE AUTHORIZATION

(Millennium Trust Company is Non-ACAT eligible.)

A CURRENT 403(b) ACCOUNT INFORMATION

Please fill in all information:

	Re:	
Current 403(b) Custodian (<i>Name of Transferring Firm</i>)	Participant's Name	

Custodian Street Address	Social Security No.	

City	State	Zip
_____	_____	_____
Phone No.	Fax No.	
_____	_____	
E-Mail Address		

Account No. w/ Current Custodian		

B EXCHANGE OF CURRENT 403(b) ACCOUNT

NOTE: A COMPLETE COPY OF YOUR CURRENT ACCOUNT STATEMENT MUST BE RECEIVED WITH THIS 403(b) ACCOUNT EXCHANGE AUTHORIZATION BEFORE ANY EXCHANGE CAN BE SUBMITTED.

NOTE: Millennium Trust will only accept exchanges of mutual fund assets.

- Transfer my entire account IN-KIND. (*Assets will be re-registered.*)
- Liquidate all assets and transfer as cash. Estimated amount: \$ _____
(Account Owner must instruct current 403(b) Custodian to liquidate all assets prior to submitting this form.)
- Transfer \$ _____ in cash and transfer IN-KIND all assets listed on the lines below: (*If there is insufficient cash in your 403(b), you must instruct your current 403(b) Custodian to liquidate the comparable value in assets.*)

Check here if you would like your funds wired. (*Fee may apply. Please check with your current Custodian.*)

In-Kind Instructions:

Quantity (# of Shares or \$ Amount)	Description of Asset (Name of Mutual Fund)	Estimated Fair Value



C EXCHANGE AUTHORIZATION

I certify that the assets listed above are held in a 403(b). I attest that none of the amount to be transferred to Millennium (MTC) will include any sums so required to be distributed under IRS required minimum distribution (RMD) rules, and Millennium may assume that all amounts received are eligible. I understand that Millennium reserves the right to review all assets being exchanged prior to final acceptance as Successor Custodian. To expedite this transfer, I have provided Millennium with complete information, and I will check with my current 403(b) Custodian to determine when the exchange will be processed.

Please check with your current Custodian to determine if a Medallion Signature Guarantee is required.
[Medallion Signature Guarantee Stamp Here]

A Medallion Signature Guarantee may be obtained from an authorized officer at a brokerage firm, bank or other financial institution. Certification by a notary public is not a substitute for a signature guarantee.

Participant's Name: _____

Participant's Signature: _____

Date: _____

Millennium Account No: _____
 (Leave account number blank if you are a new account owner)

This form will be sent to your current 403(b) Custodian by regular U.S. Mail unless overnight delivery is requested.

- Yes, I authorize Millennium to send by overnight delivery (A \$25 fee will be charged to your Millennium Account).

D EMPLOYER AUTHORIZATION

I hereby authorize the exchange in accordance with the instructions made by the participant on this form and certify that (i) Millennium Trust Company is an approved vendor under the Employer's 403(b) plan, (ii) Employer has or will before the transfer sign an agreement with Millennium Trust Company to exchange required information, and (iii) that this transfer qualifies as a tax free exchange pursuant to Section 403(b) of the Internal Revenue Code and the rules and regulations there under.

Employer Name: _____

Address: _____

City: _____ State: _____ Zip: _____

Signature of Authorized Person: _____ Phone Number: _____

E MILLENNIUM ACCEPTANCE

Millennium Trust Company will accept the above-captioned account as Successor Custodian.

Authorized Officer: _____ Date: _____

Millennium Trust Company, LLC Custodian FBO: _____ Account No.: _____

820 Jorie Blvd., Suite 420
 Oak Brook, IL 60523

Date Mailed: _____

Tax Identification No. 36-4400066

Please ensure that Section D is completed by your employer prior to sending exchange authorization to Millennium Trust.