



Equity Baskets & ETF Omnibus Account Trading

Key Features and Benefits

Overview

A cost-effective alternative to mutual funds for active investment management... eliminating mutual fund trading restrictions, penalty fees, and custodial constraints.

Together, Millennium Trust and UNX provide trading and client custody platforms that allow equity basket and ETF trades, in one or more omnibus accounts held at the clearing broker, to be placed, recorded, and custodied at Millennium in distinct individual client accounts for both taxable and IRA-qualified accounts.

Company Profiles

Located in Chicago, **Millennium Trust Company** is an independent trust company that provides administrative, trust, and custody services on behalf of Registered Investment Advisors, institutional firms, hedge funds, and related companies throughout the United States. Millennium provides tailored solutions to complex client custody and service needs, such as financial recordkeeping, tax reporting, asset allocation, and the reconciliation of client accounts.

UNX, Inc., headquartered in Burbank, California, is an institutional agency brokerage firm that uses a high performance technology infrastructure to become a single point of access connecting professional traders to the U.S. equity markets. UNX technology empowers the institutional trader with a suite of equity trading tools that simplify complex execution strategies and make trading and managing equity baskets and ETFs easy.

Benefits for Advisors

- Improve investment performance by cutting investment expenses
- Trade at any time during regular market hours instead of at end-of-day NAV's
- Enhance alpha performance in client investment portfolios
- Provide "best execution" opportunities using UNX's advanced trading tools
- Manage client assets without mutual fund trading restrictions and penalties
- Reduce securities trading slippage and minimize capital gains
- Trade stock or ETF portfolios quickly and efficiently
- Monitor and track the synthetic net asset value of any stock or ETF portfolio

Benefits to Investors

- Millennium Trust offers internet-based access to investment portfolio models, client account activity, and individual client statements
- Tax-lot accounting simplifies client's tax preparation
- Services apply to both taxable and IRA-qualified accounts

For Additional Information Contact...

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UNX, Inc. Member FINRA/SIPC. UNX provides its electronic execution services to Millennium Trust Company, LLC (Millennium) under contract with Millennium, but is not affiliated with Millennium or any of its related entities. All information is provided "as is" without warranty of any kind. Market conditions and system performance may delay system access, real-time data and trade execution.

TheSmartAlternative

Millennium Trust performs the duties of a custodian and, as such, does not provide investment advice or sell investments, nor offer any tax or legal advice.



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