

ALTERNATIVE ASSET SOLUTIONS



EXPERT CUSTODIAL SERVICES FOR FINANCIAL INSTITUTIONS, ADVISORS AND INVESTORS

Millennium Trust Company employs an innovative and flexible approach to providing administrative and custody services for clients of financial institutions and advisors. Not only do we understand the unique aspects of serving as custodian for alternative assets, we also know the distinctive aspects of working with financial institutions. Millennium Trust custodies alternative and traditional assets in IRAs, Solo 401(k)s, taxable accounts and health savings accounts.

Today's investors are increasingly seeking new ways to expand their portfolios and diversify their investment holdings. Traditional diversification used to mean a combination of stocks, bonds, mutual funds and cash, but the evolving market conditions are spurring investors to expand their strategies beyond these traditional asset classes to include alternative investments. Many investors also are learning that under current Internal Revenue Code virtually any investment can be held in a 401(k), subject to the terms of each plan, and the same for IRAs, except for life insurance and collectibles other than certain types of permitted coins and precious metals.

All of these factors are contributing to the increase of alternative assets being used in creating a more diversified portfolio. As investors seek out more alternative investment opportunities, the more important it becomes to partner with a trust company that can provide expert custody of those alternative assets.

Millennium Trust Company is a leader in administrative and custodial services for alternative assets in retirement and taxable accounts. Our experience, hands-on service, neutrality and focus on education provide firms and sponsors with greater efficiency and capabilities, and also help investors to expand their portfolios with an extensive range of alternative assets.



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WHY CHOOSE MILLENNIUM TRUST AS AN ALTERNATIVE ASSETS CUSTODIAN?

EXPERIENCE AND EXPERTISE

- Millennium Trust's extensive expertise in the custody of alternative assets can help Financial Institutions respond to clients' growing needs.
- We are uniquely positioned to deliver expert administrative and custody services for a wide range of alternative investments.
- We can provide tailored solutions to meet a firm's needs, including dedicated service teams.
- Firms can monitor the account opening process from start to finish using our secure Web-based portal.
- We work noncompetitively with Advisors and Financial Institutions and do not offer advice or sell investment products.

MORE INVESTMENT CHOICES: ALTERNATIVE AND TRADITIONAL

Millennium Trust is able to custody a broad range of alternative assets:

- Hedge Funds
- Offshore Funds
- Private Equity
- Futures
- Real Estate
- Promissory Notes
- Mortgages/Deeds of Trust
- Gold and other Precious Metal and Certificates

We also custody traditional assets, such as mutual funds, ETFs, stocks and bonds. We provide expanded FDIC insurance to \$1 million on cash balances through four unaffiliated banks.

HANDS-ON CUSTODIAL SOLUTIONS

It is important to work with a company that has the experience and expertise in safekeeping and servicing alternative assets. As custodian, we provide the recordkeeping for account(s) and control securities in safekeeping.

YOU CAN RELY ON MILLENNIUM TRUST TO:

- Establish and maintain individual client accounts.
- Facilitate investment transactions as directed.
- Track and report all investment activity in the account.
- Ensure accurate and timely recordkeeping on the account, which includes account information and statements available for viewing securely online.
- Process annual tax documents, such as IRS Form 1099s and 5498s.



EXPERIENCE YOU CAN COUNT ON

THE HIGHEST LEVEL OF CLIENT SERVICE

- Millennium Trust is experienced in the administration of alternative assets, which can require extensive paperwork, be subject to unique regulatory requirements and include detailed purchasing, selling, recordkeeping and reporting processes.
- We are knowledgeable about Internal Revenue Codes regarding alternative assets, as well as how to process funds, expenses, reinvestments and distributions in accordance with these rules.
- Millennium Trust is highly experienced in dealing with both service providers and investors, and we're committed to providing them with the highest quality service.
- We help to educate clients and advisors about alternative investments through seminars and online information.
- Clients can view account status and portfolio holdings via our secure Website.

EXPERIENCE YOU CAN COUNT ON

Millennium Trust Company understands the importance of employing a professionally experienced and qualified firm to handle the custodial support of alternative assets. We are committed to working closely with Advisors, Hedge Fund Managers, Investment Sponsors, Prime Brokers, Futures Commission Merchants and Real Estate Professionals to provide a comprehensive solution.

OPPORTUNITY FOR FUNDING CAPITAL

There is more than \$4.7 trillion invested in IRAs in the U.S. Annual rollovers into IRA accounts are expected to exceed \$430 billion. As investment sponsor or issuer of an alternative asset, it is important to know that retirement accounts represent a major source of capital for your endeavor. Retirement accounts can be invested in various types of alternative assets, such as real estate, hedge funds, futures, private equity, mortgage/trust deeds, promissory notes and more.

FUTURES IN SELF-DIRECTED IRAS

Millennium Trust has been working with the futures industry since 2000, providing proven expertise in retirement plan administration. In addition to IRA regulations, our futures account team is knowledgeable about CFTC requirements and all the appropriate documentation requirements of FCMs and other industry participants, including Introducing Brokers, Commodity Trading Advisors, and Commodity Pool Operators. Since Millennium has been working with these types of accounts for several years, we can work with advisors to reduce the amount of time required to open a futures account in an IRA.



**CONTACT US TODAY TO SEE
HOW WE CAN OPTIMIZE YOUR
BUSINESS POTENTIAL**

WWW.MTRUSTCOMPANY.COM

Millennium Trust Company solely performs the duties of a custodian and, as such, does not provide investment advice or sell investments, nor offer any tax or legal advice. By providing custody, Millennium is not making any judgment as to the quality or suitability of any investment.

PROHIBITED TRANSACTIONS

While self-directing retirement accounts offer investors a wider range of investment choices, there are government rules and regulations that must be abided.

Prohibited Transactions with Disqualified Persons are described in detail in IRS Code Section 4975 and should be discussed with tax and legal advisors prior to making an alternative asset investment.

Additionally, the Department of Labor's statement on Plan Asset Rules and amendments resulting from the Pension Protection Act of 2006 should be reviewed by investment sponsors to ensure that the investment is structured properly for retirement account investors.

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